



PATENT #7

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Millard E. Sweatt, et al.  
APPLICATION NO.: 09/925,109  
FILING DATE: August 8, 2001  
TITLE: Method & System for Remote Television Replay Control  
EXAMINER: Not Yet Known  
GROUP ART UNIT: 2152  
ATTY. DKT. NO.: 22407-05391

RECEIVED

JUN. 12 2002

Technology Center 2100

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: JUNE 4, 2002By: Martin S. C. Loui  
Martin S. C. Loui, Reg. No.: 43,411

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
MILLARD E. SWEATT, ET AL.

Dated: JUNE 4, 2002

By: Martin S.C. Loui  
Martin S. C. Loui, Reg. No.: 43,411  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7901  
Fax.: (650) 494-1417

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAttorney's Docket No.  
22407-5391Serial No.  
09/925,109**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Applicant  
Millard E. Sweatt, III, et al.Filing Date  
August 8, 2001Group Art Unit  
2152**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	6,327,418	12-4-01	Barton	386	46	
	B	6,310,886	10-30-01	Barton	370	462	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	C	01/89203 A2	11-22-01	WO	H04N	5/00		
	D	01/65862 A2	9-07-01	WO	H04N	71/73		
	E	01/65762 A2	9-07-01	WO	H04L	9/00		
	F	01/47279 A2	6-28-01	WO	H04N	7/26		
	G	01/46843 A2	6-28-01	WO	G06F	17/00		
	H	01/47249 A2	6-28-01	WO	H04N	5/00		
	I	01/47238 A2	6-28-01	WO	H04N			
	J	01/22729 A1	3-29-01	WO	H04N	5/92		
	K	01/06370 A1	1-25-01	WO	G06F	11/267		
	L	00/67475 A1	11-09-00	WO	H04N	5/445		

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAttorney's Docket No.  
22407-5391Serial No.  
09/925,109

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant  
Millard E. Sweatt, III, et al.Filing Date  
August 8, 2001Group Art Unit  
2152

## FOREIGN PATENT DOCUMENTS

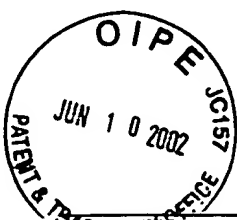
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	M	00/62533 A1	10-19-00	WO	H04N	5/445		
	N	00/62298 A1	10-19-00	WO	G11B	27/10		
	O	00/62299 A1	10-19-00	WO	G11B	27/34		
	P	00/58833 A1	10-05-00	WO	G06F	11/14		
	Q	00/58834 A1	10-05-00	WO	G06F	11/14		
	R	00/58967 A1	10-05-00	WO	G11B	27/10		
	S	00/59214 A1	10-05-00	WO	H04N	5/445		
	T	00/28736 A1	5-18-00	WO	H04N	7/025		
	U	00/18108 A2	3-30-00	WO	H04N			
	V	00/18108 A3	3-30-00	WO	H04L	12/64		
	W	00/07368 A1	2-10-00	WO	H04N	5/775		
	X	99/52279 A1	10-14-99	WO	H04N	5/775		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.



2152  
+

<b>TRANSMITTAL FORM</b> (to be used for all correspondence during pendency of filed application)		Application Number	09/925,109
		Filing Date	August 8, 2001
		First Named Inventor	Millard E. Sweatt III
		Group Art Unit Number	2152
		Examiner Name	Not Yet Known
Total Number of Pages in This Submission	6**	Attorney Docket Number	22407-05391

RECEIVED

**ENCLOSURES (check all that apply)**

JUN 12 2002

Technology Center 2100

<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement & PTO-1449	<input type="checkbox"/> _____
<input checked="" type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> _____
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> _____
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/> _____
<input type="checkbox"/> Amendment/Response: [ ] Page(s)	<input type="checkbox"/> _____
<input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> _____

REMARKS: \*\* "Total Number of Pages in this Submission" does not include cited references.

**SIGNATURE OF ATTORNEY OR AGENT**

Signature:	<i>Martin S.C. Loui</i>	
Attorney/Reg. No.:	Martin S. C. Loui, Reg. No. 43,411	Dated: JUNE 4, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	<i>Martin S.C. Loui</i>	
Typed or Printed Name:	Martin S. C. Loui	Dated: JUNE 4, 2002
Express Mail Mailing Number (optional):		